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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,032	10/05/2001	Monica Montano	27708/04013

CONFIRMATION NO. 2885

 24024  
 CALFEE HALTER & GRISWOLD, LLP  
 800 SUPERIOR AVENUE  
 SUITE 1400  
 CLEVELAND, OH 44114

## FORMALITIES LETTER



\*OC000000007007946\*

Date Mailed: 11/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/31/2002 MAHMED1 00000052 09972032

FILED UNDER 37 CFR 1.53(b)

 65.00 OP  
 370.00 OP  
 252.00 OP  
 18.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$270.
  - \$18 for 2 total claims over 20.
  - \$252 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 705.

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The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at

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63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

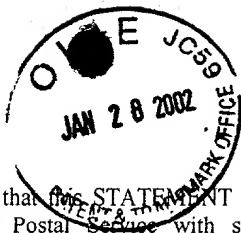


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Certificate of Mailing

I hereby certify that this STATEMENT is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Box Missing Parts, Washington, D.C. 20231, on this 7<sup>th</sup> day of January, 2002.

Typed or Printed Name of Person Signing this Certificate:

Signed: Wendy A. Frick

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Montano, et al.

Serial No.: 09/972,032

Filed: October 5, 2001

For: **MODULATORS OF ANTIESTROGEN  
PHARMACOLOGY**

) Examiner: Not yet assigned

) Art Unit: 1645

) Attorney Docket No.: 27708/04003

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**STATEMENT REGARDING SEQUENCE LISTING**

Dear Sir:

In response to the Notice to File Missing Parts mailed November 1, 2001, Applicant submits herewith a paper copy of a Sequence Listing and a computer readable form (CRF) of the Sequence Listing for the above-described application as required by 37 C.F.R. 1.825(d). The Sequence Listing in the paper copy and the CRF are the same. The sequences contained in the Sequence Listing are found in the application as originally filed and thus, the Sequence Listing adds no new matter to the application.

Respectfully submitted,

Dated: January 7, 2002

Pamela A. Docherty  
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(216) 622-8416

09/972,032